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#### **PAPER**

# Stronger Arctic amplification produced by decreasing, not increasing, $CO_2$ concentrations

Shih-Ni Zhou<sup>1</sup>, Yu-Chiao Liang<sup>1,2,\*</sup>, Ivan Mitevski<sup>3</sup> and Lorenzo M Polvani<sup>2,3,4</sup>

- Department of Atmospheric Sciences, National Taiwan University, Taipei, Taiwan
- <sup>2</sup> Lamont-Doherty Earth Observatory, Columbia University, Palisades, NY, United States of America
- Department of Applied Physics and Applied Mathematics, Columbia University, New York, NY, United States of America
- Department of Earth and Environmental Sciences, Columbia University, New York, NY, United States of America
- \* Author to whom any correspondence should be addressed.

E-mail: pamip.yuchiao@gmail.com and yuchiaoliang@ntu.edu.tw

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#### Abstract

Arctic amplification (AA), referring to the phenomenon of amplified warming in the Arctic compared to the warming in the rest of the globe, is generally attributed to the increasing concentrations of carbon dioxide (CO<sub>2</sub>) in the atmosphere. However, little attention has been paid to the mechanisms and quantitative variations of AA under decreasing levels of CO<sub>2</sub>, when cooling where the Arctic region is considerably larger than over the rest of the planet. Analyzing climate model experiments forced with a wide range of  $CO_2$  concentrations (from  $1/8 \times$  to  $8 \times CO_2$ , with respect to preindustrial levels), we show that AA indeed occurs under decreasing CO<sub>2</sub> concentrations, and it is stronger than AA under increasing CO2 concentrations. Feedback analysis reveals that the Planck, lapse-rate, and albedo feedbacks are the main contributors to producing AAs forced by CO<sub>2</sub> increase and decrease, but the stronger lapse-rate feedback associated with decreasing CO<sub>2</sub> level gives rise to stronger AA. We further find that the increasing CO<sub>2</sub> concentrations delay the peak month of AA from November to December or January, depending on the forcing strength. In contrast, decreasing CO<sub>2</sub> levels cannot shift the peak of AA earlier than October, as a consequence of the maximum sea-ice increase in September which is independent of forcing strength. Such seasonality changes are also presented in the lapse-rate feedback, but do not appear in other feedbacks nor in the atmospheric and oceanic heat transport processeses. Our results highlight the strongly asymmetric responses of AA, as evidenced by the different changes in its intensity and seasonality, to the increasing and decreasing CO<sub>2</sub> concentrations. These findings have significant implications for understanding how carbon removal could impact the Arctic climate, ecosystems, and socio-economic activities.

#### 1. Introduction

During the past 40 years, observational records indicated that the near-surface air temperature in the Arctic has risen 2–4 times more than that in the rest of globe (Serreze and Francis 2006, Serreze *et al* 2009, Lenssen *et al* 2019, Meredith *et al* 2019, England *et al* 2021, Chylek *et al* 2022, Rantanen *et al* 2022). This phenomenon, the so-called Arctic amplification (AA), is widely attributed to the increasing concentration of carbon dioxide (CO<sub>2</sub>) in the atmosphere (Manabe and Wetherald 1975, Gillett *et al* 2008, Jones *et al* 2013, Previdi *et al* 2020, Taylor *et al* 2022), although other greenhouse gases (notably halocarbons) may also have contributed (Polvani *et al* 2020, Liang *et al* 2022b). Future projections with the state-of-the-art climate models forced by standard warming scenarios also robustly indicate that AA will persist and increase in coming decades, with its annual-mean value peaking in the early 21st century (Collins *et al* 2013, Davy and Outten 2020, Cai *et al* 2021, Holland and Landrum 2021, Taylor *et al* 2022, Wu *et al* 2023). More

importantly, the amplified Arctic warming has exerted profound influences on local weather, ecosystems, and socio-economic activities within the Arctic Circle (Whiteman and Yumashev 2018, Burgass *et al* 2019, Meredith *et al* 2019, Alvarez *et al* 2020), and there has been vigorous debate on whether or not it can affect weather extremes and climate variability in the Northern Hemisphere mid-latitudes (Francis and Vavrus 2012, Barnes 2013, Cohen *et al* 2014, 2018, 2020, Mori *et al* 2014, Barnes and Screen 2015, Overland *et al* 2015, 2016, Coumou *et al* 2018, Blackport *et al* 2019, Blackport and Screen 2020a, 2020b, Zappa *et al* 2021, Smith *et al* 2022). Advancing our knowledge of AA and the contributing factors, therefore, is not only important for regional impacts, but also carries significant global implications.

While most studies focused on the AA forced by the increasing concentration of atmospheric CO<sub>2</sub> at century-long timescales (e.g. Pithan and Mauritsen 2014, Dai *et al* 2019, Previdi *et al* 2020, Hu *et al* 2022, Liang *et al* 2022a), less attention has been devoted to investigating the mechanisms linking decreasing amounts of CO<sub>2</sub> to AA. In such scenarios one might expect amplified Arctic cooling compared to the rest of the globe. Recent studies regarding the effects of aerosol emissions on global or Arctic climate revealed the possibility of AA appearance in the cooling scenario (Deng *et al* 2020, Jiang *et al* 2020, England *et al* 2021). In paleoclimate studies, on the other hand, the AA signature has been shown to emerge during the periods of both decreasing and increasing CO<sub>2</sub> levels. For example, Hoffert and Covey (1992) and Miller *et al* (2010) quantified the magnitude of AA during the holocene thermal maximum, last glacial maximum, last interglacial, and middle pliocene using paleoclimate proxies. Also in paleoclimate modelling studies, the appearance of AA has been simulated in both warming and cooling scenarios (Sloan and Rea 1996, Park *et al* 2019). However, a comprehensive analysis to examine its underlying drivers, and to directly contrast the phenomenological and mechanical differences in AA produced by cooling forcers has not yet been conducted to date.

Furthermore, AA exhibits a unique seasonal dependence, characterized by its disappearance in boreal summer, emergence in early autumn, and peak in late autumn and winter (Manabe and Stouffer 1980, Lu and Cai 2009b, Boeke and Taylor 2018, Chung *et al* 2021, Holland and Landrum 2021, Taylor *et al* 2022, Liang *et al* 2022a). Recent studies highlighted that the seasonality of AA can be altered—with its peak value gradually shifting from autumn into winter—as CO<sub>2</sub> or other greenhouse gas concentrations increase (Liang *et al* 2022a, Wu *et al* 2023); it has been shown that this is due to changes in sea-ice effective heat capacity (Hahn *et al* 2022). However, no attention has been paid to the change of AA seasonality in a cooling scenario. Does the peak month of AA shift backward from autumn to summer forced by CO<sub>2</sub> reduction? What is the mechanism responsible for AA seasonality change under decreasing CO<sub>2</sub> concentrations? These questions remain unanswered and deserve further studies.

The novelty of this study is to examine AA forced by decreasing CO<sub>2</sub> concentrations, building upon a previous study that has analyzed the influences of increasing CO<sub>2</sub> concentrations on AA and its seasonality (Liang *et al* 2022a). We contrast the phenomenological and mechanical characteristics of AA driven decreasing CO<sub>2</sub> concentrations with those from increasing CO<sub>2</sub>. We also perform a detailed feedback analysis, to shed insight onto the underlying mechanisms that produce stronger AA under decreasing CO<sub>2</sub> concentrations. We then look into AA seasonality change under CO<sub>2</sub> forcing with a focus on the migration of the peak value within one year. Finally, we discuss the results in the context of asymmetric Arctic responses to warming and cooling anthropogenic forcers. Throughout this manuscript, we refer to AA produced by decreasing CO<sub>2</sub> concentrations as cold AA, while that by increasing CO<sub>2</sub> levels as warm AA.

#### 2. Methods

This study analyzes a series of fully-coupled atmosphere-ocean-sea-ice-land model experiments under a wide range of abrupt CO<sub>2</sub> forcings (Mitevski *et al* 2021, 2022). We use the Community Earth System Model version 1 (CESM1, Kay *et al* 2015), consisting of the Community Atmosphere Model version 5 (CAM5), the Community Ice CodE version 4 (CICE4), the Community Land Model version 4 (CLM4), and the parallel ocean program version 2 (POP2) with nominal 1° horizontal resolution in all components. The model is forced with decreasing and increasing CO<sub>2</sub> concentrations in the atmosphere: 0.125x, 0.25x, 0.5x, 1x (i.e. preindustrial (PI) CO<sub>2</sub> level), 2x, 3x, 4x, 5x, 6x, 7x, 8xCO<sub>2</sub> of PI concentration level. All other trace gases, ozone concentrations, and aerosols are fixed at their PI values. The simulations follow the  $4xCO_2$  protocol for the Coupled Model Intercomparison Project Phase 6 (CMIP6, Eyring *et al* 2016), so that 150 year integration is conducted for each experiment starting from PI initial conditions. In our analyses, the response is defined as the difference (hereafter  $\Delta$ ) of any variable between the nxCO<sub>2</sub> run and the 1xCO<sub>2</sub> run (i.e. the PI control run). We average the response over the last 30 years to present the mean response. We also average the response over the last 60 years and obtain similar results, corroborating that the response is not sensitive to different chosen periods.

To quantify the strength of AA, we compute a non-dimensional factor (hereafter AAF):

$$AAF = \frac{\Delta SAT_{Arctic}}{\Delta SAT_{global}},$$
(1)

where  $\Delta$ SAT<sub>Arctic</sub> denotes the surface-air temperature (SAT) response averaged over the Arctic domain (60°–90°N), while  $\Delta$ SAT<sub>global</sub> the global-averaged SAT response. This AAF definition has been widely used and its physical interpretation has been discussed in many AA studies using abrupt CO<sub>2</sub> experiments (e.g. Pithan and Mauritsen 2014, Goosse *et al* 2018, Liang *et al* 2022a).

To investigate the underlying mechanism contributing to AA, we perform the feedback analysis adopting the top-of-atmosphere (TOA) energy budget over the Arctic domain  $(60^{\circ}-90^{\circ}\text{N})$  and tropical domain  $(30^{\circ}\text{S}-30^{\circ}\text{N})$  (Soden *et al* 2008). We consider the energy budget equation for the atmospheric column:

$$\Delta R + \Delta F - \Delta H_0 = 0, \tag{2}$$

where  $\Delta R$  is the response of net downward radiation at the TOA,  $\Delta F$  is that of horizontal convergence of atmospheric and oceanic energy transports combined, and  $\Delta H_o$  is that of ocean heat uptake. We estimate the net ocean heat storage by  $\Delta H_o$  solely because the atmosphere heat capacity, land heat uptake, and melting of snow and ice can be neglected due to small heat capacities (Polvani *et al* 2020, Liang *et al* 2022b). We consider  $\Delta F$  as the residual of the energy budget and estimate it as the difference between  $\Delta R$  and  $\Delta H_o$ . Following previous studies (e.g. Pithan and Mauritsen 2014, Polvani *et al* 2020, Hahn *et al* 2021, Jenkins and Dai 2021, Beer and Eisenman 2022, Liang *et al* 2022b, Wu *et al* 2023), we further decompose  $\Delta R$  into:

$$\Delta R = \Delta R_{\rm F} + \Delta R_{\rm PL} + \Delta R_{\rm LR} + \Delta R_{\rm AL} + \Delta R_{\rm WV} + \Delta R_{\rm CL},\tag{3}$$

where  $\Delta R_{\rm PL}$ ,  $\Delta R_{\rm LR}$ ,  $\Delta R_{\rm AL}$ ,  $\Delta R_{\rm WV}$ , and  $\Delta R_{\rm CL}$  represents the contributions of Planck, lapse-rate, albedo, water vapor, and cloud feedbacks to  $\Delta R$ , respectively. In this study, we use the radiative kernels of CAM5 (Pendergrass *et al* 2018) to perform the  $\Delta R$  decomposition. To estimate the effective radiative forcing (ERF)  $\Delta R_{\rm F}$ , we use the corresponding set of fixed-SST runs with varying CO<sub>2</sub> concentrations and take the last 30 year mean difference between the TOA energy fluxes (Mitevski *et al* 2021). Lastly, the response of oceanic heat transport ( $\Delta$ OHT) is approximated as the difference between  $\Delta H_o$  and the response of net surface heat fluxes (sum of shortwave and longwave radiation, and sensible and latent heat fluxes) between the ocean and atmosphere. The response of atmospheric heat transport ( $\Delta$ AHT) is calculated as the difference between  $\Delta F$  and  $\Delta$ OHT. All terms are converted to temperature responses dividing by negative global mean Planck feedback parameter  $-\overline{\lambda}_{\rm PL}$  following Pithan and Mauritsen (2014) and Goosse *et al* (2018). We estimate the residual of the kernel approximation as the difference between the TOA radiative flux change and the sum of these feedback contributions.

The statistical significance is informed with the error bars or color shadings shown in figures 2–5 using a Student's t-distribution with 95% confidence intervals. The sample size of the variables is 30, considering the annual-mean values in the last 30 years. If the two error bars of two variables do not overlap, their means are called statistically separable in this study. For the feedback analysis, we apply a bootstrapping technique (Pedregosa *et al* 2011) to randomly sample 30 year means 10 000 times with replacement to provide the uncertainty estimation shown in figures 3(a) and (b).

#### 3. Results

We begin by looking into the time series of annual-mean Arctic and global SATs during the 150 year integration period (figure 1(a)). As expected in the abrupt  $CO_2$  experiments, both Arctic and global SATs under different  $CO_2$  levels adjust quickly in the first 30 years, and then gradually evolve towards quasi-equilibrium states. In the last 30 years, for example, the Arctic cooling effect in  $0.125 \times CO_2$  run gives Arctic SAT 244 K, 16 K lower than the SAT in  $1 \times CO_2$  run; whereas the  $8 \times CO_2$  warming effect leads to 278 K, 18 K higher than the SAT of  $1 \times CO_2$  run. Correspondingly, the sea-ice extent (SIE) grows and declines (figure 1(b)): in particular, the  $7 \times CO_2$  and  $8 \times CO_2$  forcings melt sea ice rapidly in the first 15 years and lead to an ice-free condition (less than 1 million km² SIE, grey shading in figure 1(b) afterward, while reducing  $CO_2$  concentration gives a gentle SIE increase with time. The degree to which the sea ice responds reflects the  $CO_2$  forcing strength. We further find that the cold AAFs are larger than the warm ones throughout most of 150 years (figure 1(c)). It is a rather surprising result because one may naively imagine that the climate system responds to warming and cooling forcers similarly, giving rise to similar AAF. Thus, understanding why weakening  $CO_2$  forcing, rather than enhancing, leads to stronger AA is the main purpose of this study.

We next focus on the last 30 year mean responses of Arctic SAT, SIE, and turbulent heat fluxes (latent plus sensible heat fluxes) in order to illustrate their coupled relationships and reveal the mechanism under

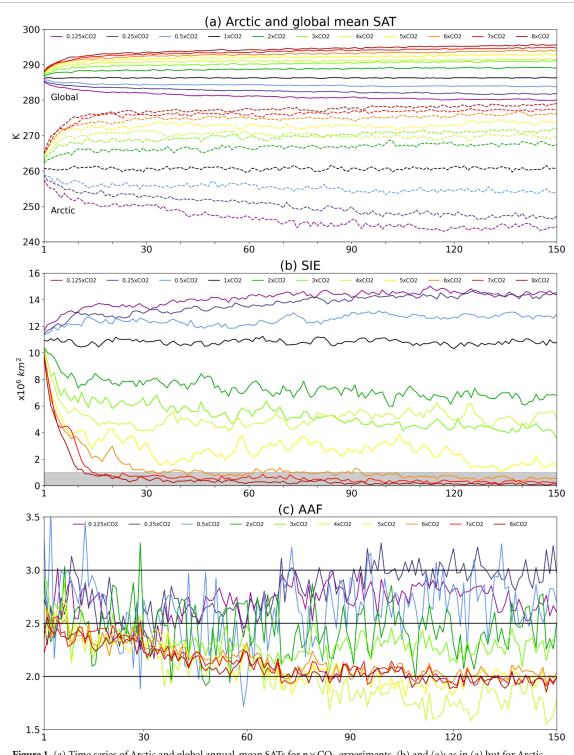


Figure 1. (a) Time series of Arctic and global annual-mean SATs for  $n \times CO_2$  experiments. (b) and (a): as in (a) but for Arctic annual-mean SIE, and annual AAF, respectively. The grey shading in (b) indicates the ice-free condition in which the SIE is less than  $1000\,000\,\text{km}^2$ .

varying strength of  $CO_2$  forcings. These variables behave consistently as a function of  $CO_2$  forcing strength and are strongly connected to each other (black lines in figures 2(a)–(c)). This manifests a known feedback process: the enhanced Arctic SAT due to increasing  $CO_2$  level melts more sea ice and leads to more open ocean, allowing more ocean-to-atmosphere heat fluxes to warm the Arctic SAT further (e.g. Deser *et al* 2010, Screen and Simmonds 2010, Goosse *et al* 2018, Dai *et al* 2019, Deng *et al* 2020, Liang *et al* 2022a). If the Arctic SAT cools under decreasing  $CO_2$  concentration, this feedback also works, evidenced by the  $0.125\times$ ,  $0.25\times$ , and  $0.5\times CO_2$  results.

It is noted that the kink in  $4 \times CO_2$  run is associated with the shutdown of Atlantic meridional overturning circulation (AMOC) (Mitevski *et al* 2021). Previous studies showed that many CMIP5 and

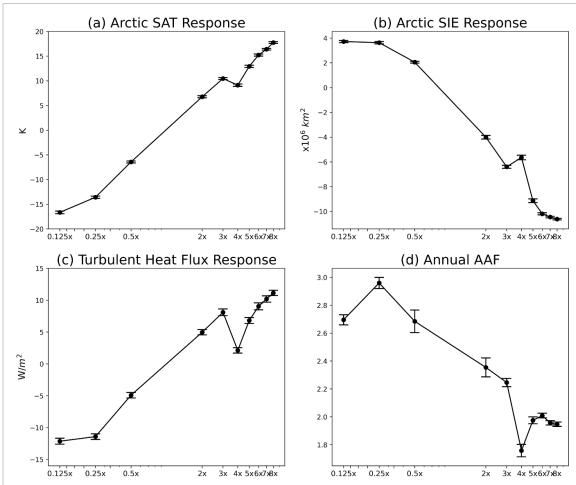
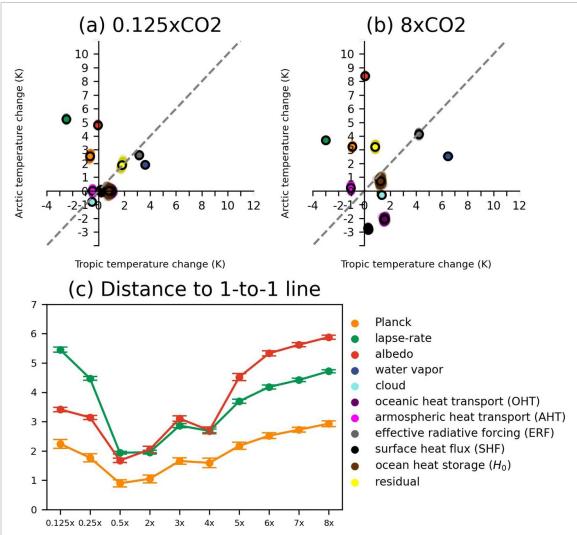


Figure 2. The response of the annual-mean (a) Arctic SAT, (b) Arctic SIE, (c) turbulent (latent plus sensible) heat fluxes, and (d) AAF, averaged over the last 30 years of the  $n \times CO_2$  simulations. Error bars in each panel denote 95% confidence intervals calculated using Student's t-distribution.

CMIP6 models exhibit significant weakening of the AMOC when  $CO_2$  forcing increases (Rugenstein *et al* 2013, Winton *et al* 2013, Palter 2015, Trossman *et al* 2016, Caesar *et al* 2020). The reduction of Arctic sea ice could also influence the AMOC strength through increased freshwater fluxes, but this relationship is not unidirectional (Oudar *et al* 2017, Sévellec *et al* 2017, Sun *et al* 2018, Liu *et al* 2019). Focusing on CESM1 and GISS-E2.1-G under abrupt  $CO_2$  forcing, Mitevski *et al* 2021 found that AMOC can collapse in  $4 \times CO_2$  and  $3 \times CO_2$  in the two models, respectively, and does not recover under stronger  $CO_2$  forcings. Comparing with corresponding slab-ocean model experiments, they argued that the underlying mechanism is associated with the ocean dynamics.

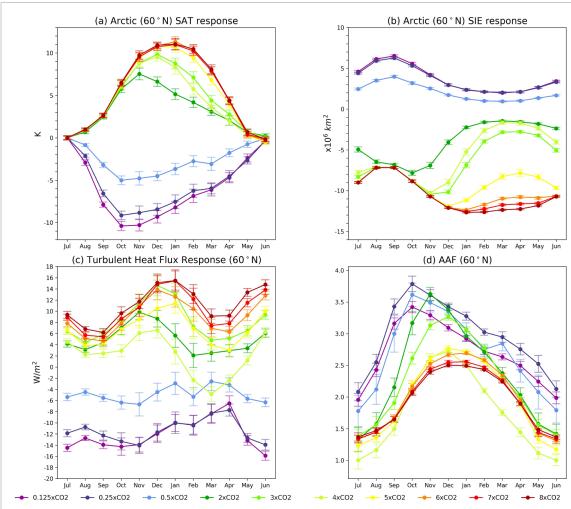
Focusing on the AAF, the most important variable of this study, we find that the three cold AAFs are larger than any warm AAFs (figure 2(d)). The cold AAFs range between 2.7–3.0, whereas all warm AAFs are smaller than 2.4 in the measure of the 30 year mean. We also notice a reduction tendency in warm AAFs (except the 4×CO<sub>2</sub> case) as a function of CO<sub>2</sub> forcing strength, which is documented in previous studies and has been mainly attributed to the relatively smaller SIE decrease under a nearly ice-free condition and the accompanying weaker heat flux exchange between the ocean and atmosphere (Deser et al 2010, Screen and Simmonds 2010, Chung et al 2021, Liang et al 2022a). In contrast, unlike the warm AAFs (except the  $4\times CO_2$ case) decreasing monotonically with increasing CO<sub>2</sub> concentrations, the largest cold AAF appears in the  $0.25\times CO_2$  run rather than the  $0.125\times CO_2$  run. Similarly, we attribute this to the relatively small SIE increase under a nearly ice-covered state, in which sea ice is hard to grow further when the CO2 level declines further. Indeed, the SIE change between 0.125×CO<sub>2</sub> and 0.25×CO<sub>2</sub> runs is smaller than between 0.25×CO<sub>2</sub> and  $0.5 \times \text{CO}_2$  runs (figure 2(b)). Consequently, the turbulent heat fluxes and Arctic SAT changes are relatively small, giving rise to smaller AAF in the  $0.125 \times CO_2$  run than in the  $0.25 \times CO_2$  run. In addition, the AMOC collapse does have impact on the strength of AAF, as clearly shown in figure 2(d). And since the CO<sub>2</sub> radiative forcing still works on the climate system, despite the AMOC collapse, the associated feedbacks in Arctic region continue producing AA (see next paragraph).



**Figure 3.** Contribution of feedbacks and meridional heat transports to the Arctic against tropical annual mean SATs under (a) abrupt  $0.125 \times CO_2$  and (b)  $8 \times CO_2$  forcing. In (a) and (b), the line with slope one (i.e. the one-to-one line) is plotted as a grey dashed line, and small dots are generated with 10 000 time random sampling for each feedback. (c) Distances to the one-to-one line for Planck, lapse-rate, and albedo feedbacks. In (c), error bars denote 95% confidence intervals calculated using Student's t-distribution.

The above results, having shed light on the mechanism associated with sea-ice retreat and ocean-to-atmosphere heat fluxes to produce cold and warm AAs, however, do not explicitly explain why cold AAF is larger than warm AAF. We, thus, perform a feedback analysis to seek the answer. For each feedback, ERF, and meridional heat transports, we plot its value averaged over the Arctic domain against that over the tropical domain following previous studies (e.g. Pithan and Mauritsen 2014, Hahn *et al* 2021, Beer and Eisenman 2022, Liang *et al* 2022b). Figures 3(a) and (b) show the results of  $0.125 \times CO_2$  and  $8 \times CO_2$  runs and compare their difference and similarity. The Planck, lapse-rate, and albedo feedbacks immediately stand out to be the major contributors to both cold and warm AAs because they are above the one-to-one line (grey dashed line), which informs the larger Arctic SAT change than the tropical (or global) one. In contrast, the water vapor feedback serves the role of de-AA. Other feedbacks and meridional heat transports do not play substantial roles in generating AA as they are close to the one-to-one line. We also perform the same analysis on other abrupt  $CO_2$  runs and show the evolution of feedbacks with varying  $CO_2$  levels in supplementary figures 1 and 2.

Let us now move back to the three feedbacks that are the main contributors to the cold and warm AAs. We find that their relative importance varies under decreasing and increasing  $CO_2$  concentrations. Indeed, the lapse-rate feedback seems more influential than Planck and albedo feedbacks in  $0.125 \times CO_2$  run by looking at figure 3(a), while the Planck feedback seems equally important and the albedo feedback more important in  $8 \times CO_2$  run in figure 3(b). To quantify, we define the Euclidean distance to the one-to-one line as a measure of the 'importance' contributing to AA. Figure 3(c) presents how the distances of the three feedbacks evolve as a function of  $CO_2$  forcing. It is clear that the lapse-rate feedback is stronger than the

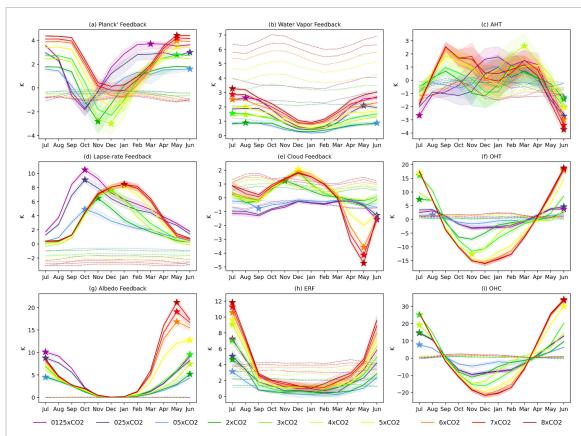


**Figure 4.** Seasonal migrations of (a) Arctic SAT response, (b) Arctic SIE response, (c) turbulent heat flux response, and (d) AAF. The error bars denote 95% confidence intervals calculated using Student's t-distribution.

albedo and Planck feedbacks in the cooling scenario, supported by the fact that it is statistically separable from the other feedbacks. The distance shrinks as the forcing strength is reduced. On the other hand, when the  $CO_2$  concentration increases, the albedo feedback becomes more important than the other two feedbacks. These findings suggest that the stronger lapse-rate feedback under decreasing  $CO_2$  forcing than increasing  $CO_2$  forcing are the major contributors to producing a larger magnitude of AA.

To further investigate why the contributions of lapse-rate feedback vary, we look at the polar-cap and tropically-averaged vertical temperature profiles and their sensitivity with respect to global-mean SAT response. In particular, it is evident to see that the cooling scenarios give larger temperature difference between the lower and upper troposphere and stronger temperature inversion in the lower troposphere (supplementary figure 3(k)). This reveals the essence of stronger lapse-rate feedback produced by CO<sub>2</sub> reduction than that by CO<sub>2</sub> increase. For Planck feedback, we further analyze the spatial distribution of its parameters (supplementary figure 4). The spatial structure over the Arctic domain is somewhat different between warming and cooling simulations: in the warming scenarios, the large values occur between 85°N–90°N with magnitude weakened as increasing CO<sub>2</sub> concentration, whereas, in the cooling scenarios, between 70°N–80°N. Such spatial difference could be related to the sea-ice edge in the cooling and warming experiments. In contrast, less variations in spatial extent and magnitude are shown in the tropical domain (supplementary figure 4(a)). This suggests that the Planck feedback in the cooling scenarios has different spatial distribution in high latitudes than in the warming scenarios, although it plays similar role in producing AA.

Last but not least, we turn to contrasting the seasonality changes under decreasing and increasing  $CO_2$  concentrations. Reported in Liang *et al* (2022a), the peak of Arctic SATs gradually migrates from November to December or January as  $CO_2$  forcing increases (also see figure 4(a). However, not expected is that the peak of SATs under decreasing  $CO_2$  levels does not shift its minimum value at all, indicating very weak or no apparent seasonality changes under the cooling scenario. The minimum of reduced SATs stays locked in



**Figure 5.** Seasonal migrations in temperature of (a) lapse-rate feedback, (b) water vapor feedback, (c) atmospheric heat transport (AHT), (d) Planck feedback, (e) cloud feedback, (f) oceanic heat transport (OHT), (g) albedo feedback, (h) effective radiative forcing (ERF) and (i) ocean heat storage ( $H_0$ ) averaged over the Arctic domain. The color shadings denote 95% confidence intervals calculated using Student's t-distribution. The results averaged over the tropical domain are plotted as dashed lines. The largest values over the 12 months are marked as stars.

October, no matter whether the cooling forcing strength is enhanced. We also look into the responses of SIE and turbulent heat fluxes and find their seasonalities do not change much either (figures 4(b) and (c)). The maximum SIE responses occur in September, one month before minimum SAT responses, suggesting that SIE increase leads to weaker turbulent heat fluxes and cooler SAT. The reason why the SIE increase cannot move to an earlier month, for example, August, is likely related to the climatological SIE minimum in September, which locks the response phase in September. We also notice that the SIE responses are almost unchanged in  $0.125 \times CO_2$  and  $0.25 \times CO_2$  runs, consistent with their annual-mean SIE responses (figure 2(b)). This is probably caused by the increased SIE under the cooling scenario that almost fully covers the Arctic Ocean, limiting the degree of SIE change in all month. We also enlarge the domain to  $55^{\circ}N-90^{\circ}N$  and obtain similar results (see supplementary figure 5) to make sure that the amount of sea-ice growth outside the Arctic domain we chose is not critical. As a consequence of the stagnation of Arctic SAT seasonality response to reduced  $CO_2$  concentrations, the peaks of cold AAFs are locked in October (figure 4(d)).

To further investigate the associated processes other than the SIE-turbulent heat fluxes mechanism discussed above, we examine the seasonalities for all radiative feedbacks, ERF, AHT, and OHT. Only the lapse-rate feedback (figure 5(d)), identified as the second contributor to produce stronger cold AA (figure 3), shows consistent seasonality responses as those of the Arctic SAT and AAF: they do not shift their peaks in October under decreased  $CO_2$  concentrations, while their peaks migrate gradually from November to January under increased  $CO_2$  levels. Other feedbacks, ERF, and AHT, do not show consistent seasonality responses (figures 5(a), (b), (c), (e) and (h)), suggesting that they are not the main drivers of the AA seasonality changes. We also notice that OHT and  $H_o$  (figures 5(f) and (i)), show seasonal migration signature. The main reason underlying them is not clear, which we hope to examine in future studies. In addition, the spatial distribution of radiative feedback and temperature variability have been shown to influence AHT (Merlis *et al* 2022), which also deserve further examinations in the context of varying  $CO_2$  levels.

However, it is difficult to interpret the causality that the lapse-rate feedback causes the seasonal changes of AA as there are no apparent lead-lag relationships between them and AAF. We, thus, argue that the lapse-rate feedback is not the main driver in modulating the AA seasonality change, but they play important roles in amplifying the seasonality responses (and are important for producing stronger cold AA). To test this argument, we look into both the lapse-rate feedback parameter and temperature inversion, defined as the difference between the air temperature at 850 hPa and 1000 hPa following Jenkins and Dai (2022). We find that they exhibit similar seasonal variations (supplementary figures 6(b) and (c)) to those of AA. This means that no apparent lead-lag relationship exists between them, suggesting the amplified Arctic warming and the associated vertical temperature profile is established almost immediately the lapse-rate feedback actually works. Therefore, the lapse-rate feedback may not be the essential driver for the AA seasonality shift. We also show that the tropics-averaged seasonality responses (dashed lines in figure 5) do not present strong seasonal dependence as those of Arctic ones. This means that the Arctic seasonality changes dominate the AAF seasonality changes.

#### 4. Discussion

Our results not only demonstrate that the cold AA is stronger than the warm one, but also shed insight towards the asymmetric responses of Arctic climate change, as evidenced by the disproportional variations in its intensity and seasonality, to the increasing and decreasing CO<sub>2</sub> concentrations. The asymmetric responses in the Arctic resonate the asymmetry of global surface temperature to increasing and decreasing CO<sub>2</sub> levels presented in Mitevski *et al* (2022), which used the same abrupt CO<sub>2</sub> experiments under a broad range of CO<sub>2</sub> forcings. However, the underlying mechanism seems distinct as the asymmetric global temperature responses are mainly attributed to the non-logarithmic radiative forcing (Mitevski *et al* 2022), whereas this study finds that the asymmetric Arctic responses are related to the lapse-rate feedback. These reveal that the Arctic region is rather unique and needs to be studied separately from a global mean perspective. More efforts are needed to advance our understanding of the feedback processes and/or radiative forcing contributing to Arctic asymmetry, which could intrinsically build upon the non-linearity in Arctic climate response (e.g. Deng *et al* 2020, Sumata *et al* 2023).

Our findings of stronger cold AA may have implications for the effects of various CO<sub>2</sub> removal or net-zero emissions scenarios (Oh *et al* 2022), instigated by the 2015 Paris Agreement with a pursuit to limit the global temperature increase to 1.5 °C above PI levels, on Arctic climate change. To achieve this objective, net negative CO<sub>2</sub> emissions are demanded (Hoegh-Guldberg *et al* 2018), so a stronger cold AA signature could emerge. Our results may also pave a road for investigating the effects of anthropogenic aerosol emissions on Arctic climate change. Although the aerosol radiative forcing is somewhat different from CO<sub>2</sub> forcing in terms of geographical distribution and temporal evolution, both previous and recent studies revealed that the cooling induced by the aerosol loading likely gives rise to cold AA (Feichter *et al* 2004, Ming and Ramaswamy 2009, Deng *et al* 2020, Jiang *et al* 2020, England *et al* 2021). Whether or not the cold AA produced by anthropogenic aerosol emissions is stronger than that by CO<sub>2</sub> emission or removal remains an open question and yet to be examined. Our findings, thus, have significant implications for how the carbon removal or the effects of anthropogenic aerosol emissions could impact Arctic climate, ecosystems, and socio-economic activities.

For the feedback analysis, we use the radiative kernel technique (Soden et al 2008) to quantify the roles of radiative feedbacks in contributing to cold and warm AAs. However, the radiative kernel could be limited to state-dependent issue. A study using MPI-ESM-LR radiative kernel showed that the strength of albedo feedback is reduced by 50% comparing the  $4\times CO_2$  run with the control PI run (Block and Mauritsen 2013), indicating that the albedo feedback is state-dependent. Indeed, we find that the albedo feedback becomes less important in  $2 \times CO_2$  and  $0.5 \times CO_2$  cases. Jonko et al (2013) further decomposed the contribution of each feedback into the a radiative flux change and a climatic response to temperature change using kernel technique. They showed that the variations in Planck and water vapor feedbacks are largely due to changes in the radiative flux associated with varying climate state. We also notice that the residuals, not ignorable, vary in the Arctic averages when the CO<sub>2</sub> concentration chagnes (see supplementary figure 1), reflecting the variations of radiative kernel technique in polar averages also shown in Jonko et al (2013). Lastly, the different selection of baseline climate state from the PI control run could affect the relative importance of each feedback. As such, the legitimacy of the kernel applied to both warming and cooling states needs to be investigated further. Moreover, the linear decomposition of the radiative kernel technique may not properly account for the non-linear interactions between feedbacks (Goosse et al 2018, Henry et al 2021, Beer and Eisenman 2022) and the coupling between feedbacks and meridional heat transports (e.g. Langen et al 2012, Merlis 2014, Feldl et al 2020, Russotto and Biasutti 2020). Other techniques, in particular, the coupled

atmosphere–surface climate feedback–response analysis method (Cai and Lu 2009, Lu and Cai 2009a), the feedback-locking method (Hall 2004, Graversen and Wang 2009, Middlemas *et al* 2020, Beer and Eisenman 2022), and the moist energy balance model (Hwang and Frierson 2010, Hwang *et al* 2011, Rose *et al* 2014, Roe *et al* 2015, Bonan *et al* 2018, Russotto and Biasutti 2020), can be considered in future works to revisit the findings of this study.

#### 5. Conclusion

This study, for the first time, has contrasted the cold AA to the warm AA using a series of abrupt CO<sub>2</sub> experiments, conducted with a state-of-the-art fully coupled climate model. We not only illustrated the phenomenological characteristics of cold AA, but also examined the underlying mechanisms. The main finding, perhaps surprisingly, is that decreasing, rather than increasing, CO<sub>2</sub> concentrations produce stronger AA. We showed that the sea-ice loss-turbulent heat fluxes-SAT feedback play an essential role in producing both cold and warm AAs, but cannot explicitly explain why the cold AA is stronger. The feedback analysis suggests that the stronger lapse-rate feedback in the cooling scenario compared to the warming scenario are the major contributors to generating stronger AA.

We have also examined the seasonality of the responses to decreasing and increasing CO<sub>2</sub>. Unlike the peaks of warm AA, which shift gradually from November to December or January as CO<sub>2</sub> increases, those of cold AA do not shift but are locked in the month of October. We attribute this apparent phase-locking to a nearly ice-covered Arctic Ocean under the cooling scenario that limits the degree of SIE seasonal changes, as well as the climatological SIE minimum in September. And finally, we have found that the lapse-rate feedback amplifies the AA seasonality response, but may not be the essential driver.

#### Data availability statement

The data of abrupt CO<sub>2</sub> experiments can be obtained at https://doi.org/10.5281/zenodo.5725084. The plotting Python scripts can be downloaded from https://doi.org/10.5281/zenodo.7763116, or upon request to the corresponding author.

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#### **Conflict of interest**

The authors have no conflicts of interest to report.

#### ORCID iDs

Yu-Chiao Liang https://orcid.org/0000-0002-9347-2466 Lorenzo M Polvani https://orcid.org/0000-0003-4775-8110

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